

ANNEX 1 Safeguard Screening Forms

- This form will be filled by MPWT during the identification of the subprojects. The signed will be properly signed and attached to the subproject proposal which is to be reviewed by PMU.

FORM A: Project Concept Safeguards Checklist

Province:	District:	Location – sketch map attached (Mark)		
		YES	NO	
Village:				
Located within NPA	Located near NPA	Located near important physical culture resource (PCR) sites		
Brief description of works/activities: [i.e. need/purpose of works, proposed works (list/explain activities), number of population to benefit]				
Checklist		Yes	No	Explanation/Comments
1. Will the works require any land acquisition?				
2. Will the works require taking land from people who are using it for agriculture (even if they do not own/have title to the land)?				
3. Will the works require any households to move structures (include, houses, small shops, rice bins etc.) for project facilities?				
4. Are there ethnic people living in the area? If yes, how many different groups (list)?				
5. Are the works, located in or near a cultural/heritage area?				
6. Are the works, located in or near an area known to have special significance to the people of the area?				
7. Are the works, located near or in a protected area (or a buffer zone of a protected area)?				
8. Are the works likely to generate sedimentation, dust or create a dust problem? If yes, for how many months (during which season)?				
10. Will the works be located near a river, stream or waterway?				
11. Will any of the works require the use of toxic chemicals, herbicides, and/or explosives?				

12. Other information: map, additional issues or impacts etc. should be specified on the attached sheet:

List attachments:

Distribution of ESMF Initial Screening Form:

Distributed to	Yes	No	Date
MPWT			
PONRE			
DONRE			
Nampapa			
Nam Saat			
Others (list below)			

ESMF Initial Screening Form compiled by:

Name:	Duty:
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Signature:	Date:
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ESMF Initial Screening Form verified by:

Name:	Duty:
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Signature:	Date:
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Attachments
(For map, sketches, other information, issues, potential impacts, etc as mentioned in item 13 above)

As required

FORM B: Environmental Assessment Category Screening Form for Water Supply and Sewage Treatment Maintenance

Province:	District:	Location – sketch map attached (Mark)		
		YES	NO	
TYPE of works/activities (Mark)	Sanitation	Water Supply		
TYPE of works/activities (Mark)	Upgrading/reconstruction	Rehabilitation		
Improvement	Maintenance			
Brief description of works/activities and project area: [i.e. need/purpose of works, proposed works (list/explain activities), number of villages (approx. population) to benefit, describe site sensitivity based on criteria in Form A]				
Screening Questions		Yes	No	Explanation/Comments
Is the project area within or adjacent to any of the following areas?				
<input type="checkbox"/> Cultural/heritage area				
<input type="checkbox"/> Protected area				
<input type="checkbox"/> Buffer zone of a protected area				
<input type="checkbox"/> Wetland				
<input type="checkbox"/> River, stream or waterway				
<input type="checkbox"/> Estuary				
<input type="checkbox"/> Location and nature of Protected Area (PA)				
<input type="checkbox"/> Location and nature of Protection Forest Area (PFA)				
<input type="checkbox"/> Will secondary forest be affected?				
<input type="checkbox"/> Are there rare or endangered species of flora or fauna in the project area?				
<input type="checkbox"/> Will the project alter surface water hydrology of waterways or streams?				
<input type="checkbox"/> Will the project increase soil erosion?				
<input type="checkbox"/> Will the project generate dust or noise?				

<input type="checkbox"/> Will the project require the establishment of a camp for construction workers?			
<input type="checkbox"/> Will the project require the creation of material stockpiles?			
INFORMATION ON ENVIRONMENTAL IMPACT			
Information		Explanation	
What is the predominant type of vegetation to be affected by the project?			
What is the estimate of the total area of this type of vegetation to be affected?			
Are there any other proposals or projects which could increase the significance of any of the impacts (cumulative impacts)?			
Will the project require the acquisition or temporary use of people's land? If yes, also complete LAR SCREENING FORM (Form D)			
Will the project require the relocation of structures? If yes, also complete LAR SCREENING FORM (Form D)			
Is the project located in area where ethnic minority groups are known to live or use? If yes, also complete ethnic group SCREENING FORM (Form C)			

PROJECT CATEGORIZATION FOR ENVIRONMENTAL IMPACTS

Based on the definition of impacts in the Environmental and Social Operation Manual, what is the category?

CATEGORY B – non-significant environmental impact, an IEE is required

CATEGORY C – minimal or no environmental impact, no EA required, generic ESMP and technical specifications will apply

Indicators of Site Sensitivity see in Form B below

Distributed to	Yes	No	Date
MPWT			
PONRE			
DONRE			
Nampapa			
Nam Saat			
Others (list below)			

EA Screening Form compiled by:

Name:	Duty:
Signature:	Date:

EA Screening Form verified by:

Name:	Duty:
Signature:	Date:

FORM C: Ethnic Groups (ethnic group) Screening Form

Province:	District:	Location – sketch map attached (circle one)	
		YES	NO
TYPE of works/activities (Mark)	Sanitation	Water Supply	

Brief description of works/activities and project area: [i.e.need/purpose of works, proposed works (list/explain activities), number of villages (approx. population) affected, describe communities to be affected]

ETHNIC MINORITY ISSUES SCREENING

Screening Questions	Yes	No	Explanation/Comments
1. Are there ethnic minority groups present in the subproject area?			
2. If yes, how many different groups?			
3. Do they live in mixed communities with non-ethnic minority people?			
4. Do they maintain distinctive customs or economic activities?			
5. If yes, do any of these customs or economic activities may make them vulnerable to hardship?			
6. Will the project restrict their economic or social activity?			
7. Will the project affect or change their socio-economic and cultural integrity?			
8. Will the project disrupt their community life?			
9. Will the project positively affect their health, education, social activity, livelihoods or security?			
10. Will the project negatively affect their health, education, social activity, livelihoods or security?			
11. Will the project alter or undermine the recognition of their knowledge?			
12. Will the project preclude customary behavior or undermine customary institutions?			
13. If impacts on ethnic minority groups are expected:			

14. Are there sufficient skilled staff in the Executing Agency for preparing an assessment and identifying suitable mitigation measures (preparing an Ethnic Groups Development plan [EGDP])?			
15. Are training and capacity-building interventions required prior to EGDP preparation and implementation?			
16. In case of no disruption to ethnic minority community life as a whole, will there be loss of housing, land, crops, trees or access to resources owned, controlled or used by ethnic minority households?			

Anticipated Impacts on Ethnic People

Project activity	Anticipated positive^e effect	Anticipated negative effect

**PROJECT CATEGORIZATION FOR ETHNIC GROUP (ethnic group)
IMPACTS**

Based on the definition of impacts in the Environmental and Social Operations Manual what is the category?

CATEGORY B – Impacts related to land acquisition only, specific action to be included in the RAP or abbreviated RAP is required

CATEGORY C – No impact, no EGDP or Specific Action is required, generic social impact mitigation specifications will apply

Distributed to	Yes	No	Date
MPWT			
PONRE			
DONRE			
Nampapa			
Nam Saat			
Others (list below)			

ethnic group Screening Form compiled by:

Name:	Duty:
Signature:	Date:

ethnic group Screening Form verified by:

Name:	Duty:
Signature:	Date:

FORM D: Land Acquisition & Resettlement (LAR) Screening Form for Water Supply and Sewage Treatment

Province:	District:	Location – sketch map attached (<input type="checkbox"/>)	
		YES	NO
TYPE of works/activities (Mark)	Water Supply	Sewage Treatment	

Brief description of works/activities and project area: [i.e. need/purpose of works, proposed works (list/explain activities), number of villages (approx. population) affected, describe communities to be affected]

LAND ACQUISITION AND RESETTLEMENT SCREENING

Screening Questions	Yes	No	Explanation/Comments
1. Is land acquisition likely to be necessary?			
2. Is the site for land acquisition known?			
3. Is the ownership status and current usage of the land known?			
4. Will easements be utilized within an existing right-of-way?			
5. Are there any non-titled people who live or earn their livelihood at the site or within the right-of-way?			
6. Will there be loss of housing?			
7. Will there be loss of agricultural plots?			
8. Will there be losses of crops, trees, and fixed assets?			
9. Will there be loss of businesses or enterprises?			
10. Will there be loss of incomes and livelihoods?			
11. Will people lose access to facilities, services, or natural resources?			
12. Will any social or economic activities be affected by land userelated changes?			
13. If involuntary resettlement impacts are expected:			
- Will coordination between government agencies be required to deal with land acquisition?			
- Are there sufficient skilled staff in the Executing Agency for			

resettlement planning and implementation?			
- Are training and capacity-building interventions required prior to resettlement planning and implementation?			
INFORMATION ON AFFECTED PEOPLE			
14. Any estimate of the likely number of households that will be affected by the project? <input type="checkbox"/> Yes; <input type="checkbox"/> No			
15. If yes, approximately how many households?			
16. Are any of the households vulnerable i.e. households that (i) are headed by divorced or widowed females with dependents and low income; (ii) include disabled or invalid persons; (iii) include persons falling under the generally accepted indicator for poverty as defined by the Ministry of Labor and Social Welfare, or the landless; and/or, (iv) are elderly with no means of support? <input type="checkbox"/> Yes; <input type="checkbox"/> No			
<input type="checkbox"/> If yes, approximately how many households?			
<input type="checkbox"/> If yes, briefly describe their situation:			
17. Are any of the households from ethnic minority groups? <input type="checkbox"/> Yes; <input type="checkbox"/> No			
<input type="checkbox"/> If yes, briefly describe their situation:			

PROJECT CATEGORIZATION FOR RESETTLEMENT IMPACTS

Based on the definition of impacts in the Environmental and Social Operations Manual, what is the category?

CATEGORY B – marginal or non-significant resettlement impact, an abbreviated RAP is required

CATEGORY C – minimal or no resettlement impact, no resettlement is required, generic social impact mitigation specifications will apply

Distributed to	Yes	No	Date
MPWT			
PONRE			
DONRE			
Nampapa			
Nam Saat			
Others (list below)			

LAR Screening Form compiled by:

Name:	Duty:
Signature:	Date:

LAR Screening Form verified by:

Name:	Duty:
Signature:	Date:

