



**SELECTION # 1204941: PREPARATION OF
ENVIRONMENTAL AND SOCIAL MANAGEMENT
FRAMEWORK FOR THE SOUTH EAST ASIA
DISASTER RISK MANAGEMENT PROJECT
(SEA DRM) FOR LAO PDR AND CAMBODIA
DRAFT INCEPTION REPORT**

Prepared for:

DEPARTMENT OF WATERWAYS
MINISTRY OF PUBLIC WORKS AND TRANSPORT
LANXANG AVENUE
VIENTIANE, LAO PDR

and

WORLD BANK GROUP
1818 H STREET NW
WASHINGTON, DC, USA 20433

Prepared by:

HATFIELD CONSULTANTS
#200 - 850 HARBOURSIDE DRIVE
NORTH VANCOUVER, BC
CANADA V7P 0A3

JUNE 2016

WB7708

VERSION 2

TABLE OF CONTENTS

LIST OF TABLES	ii
LIST OF ANNEXES	ii
LIST OF ACRONYMS.....	iii
1.0 INTRODUCTION.....	1
1.1 BACKGROUND.....	1
1.2 OBJECTIVES OF THE ENVIRONMENTAL AND SOCIAL MANAGEMENT FRAMEWORK	1
1.3 SCOPE OF WORK.....	2
2.0 APPROACH AND METHODOLOGY	3
2.1 TECHNICAL APPROACH.....	3
2.2 METHODOLOGY	4
2.2.1 Meetings.....	4
2.2.2 Literature Review.....	5
2.2.3 Data Collection Instruments.....	6
2.2.4 Stakeholder Engagement Process	6
2.2.5 Gender and Ethnic Inclusive Social Assessment Guidelines	6
3.0 WORK PLAN	7
3.1 KICK-OFF MEETING	7
3.2 SCOPING	7
3.3 PRELIMINARY ENVIRONMENTAL AND SOCIAL ASSESSMENT	7
3.4 ENVIRONMENTAL AND SOCIAL MANAGEMENT FRAMEWORK	8
3.4.1 Resettlement Policy Framework	8
3.4.2 Ethnic Group Engagement Framework.....	8
3.5 STAKEHOLDER ENGAGEMENT AND PUBLIC CONSULTATION.....	9
4.0 OUTPUTS	9
4.1 INCEPTION REPORT	9
4.2 DRAFT REPORTS	9
4.3 PUBLIC CONSULTATION	10
4.4 REVISED FINAL DRAFT ESMF	10
5.0 TEAM COMPOSITION AND TASK ASSIGNMENT	10
5.1 ORGANIZATION AND STAFFING.....	10
5.2 STAKEHOLDERS	11
5.2.1 Designated Implementing Agencies	11
5.2.2 Non-Government Organizations/Civil Society Organizations and Intergovernmental Agencies	12
5.2.3 Ethnic Minorities and Vulnerable Groups.....	13
6.0 SUMMARY AND NEXT STEPS.....	14

LIST OF TABLES

Table 1	Potential SEA DRM sub-project initiatives and activities.	4
Table 2	Consultant Task Assignment (person days).	11

LIST OF ANNEXES

Annex A1	List of proposed Sub-Projects
Annex A2	Timeline (including Field Visit Plan – Location Details, Who to Meet, Resource Requirements, Budget)
Annex A3	Environmental and Social Management Framework (ESMF) Report Outline
Annex A4	Resettlement Policy Framework (RPF) Report Outline
Annex A5	Ethnic Group Engagement Framework (EGEF) Report Outline

LIST OF ACRONYMS

ADPC	Asian Disaster Preparedness Center
CBO	Community-based Organization
CGIAR	Consortium of Agricultural Research Centers
CEP	Core Environment Program
CSO	Civil Society Organization
DESIA	Department of Environment and Social Impact Assessment
DIA	Designated Implementing Agency
DOW	Department of Waterways
DRM	Disaster Risk Management
EA	Environmental Assessment
EGEF	Ethnic Group Engagement Framework
ESD	Environment and Social Division
ESIA	Environmental and Social Impact Assessment
ESMF	Environmental and Social Management Framework
ESMP	Environmental and Social Management Plan
FAO	Food and Agriculture Organization of the United Nations
GMS	Greater Mekong Sub-Region
HI	Handicap International
IDA	International Development Association
IFAD	International Fund for Agricultural Development
IPCC	Intergovernmental Panel on Climate Change
IPPF	Indigenous Peoples Planning Framework
IUCN	International Union for Conservation of Nature
LDPA	Lao Disabled People's Association
MONRE	Ministry of Natural Resources and Environment
MPWT	Ministry of Public Works and Transport
NGO	Non-government organization
NPA	Non-profit Association
OP	Operational Policies
PDR	People's Democratic Republic
PESA	Preliminary Environmental and Social Assessment
PTI	Public Works and Transport Institute
RAP	Resettlement Action Plan
RPF	Resettlement Policy Framework
SEA	South East Asia
SOW	Scope of Work
TAF	The Asia Foundation
TOR	Terms of reference

WB	World Bank
WBG	World Bank Group
WCS	Wildlife Conservation Society
WWF	World Wide Fund for Nature
WBL	World Vision Lao PDR
UNDP	United Nations Development Programme
UNEP	United Nations Environment Programme
UNFCCC	United Nations Framework Convention on Climate Change
UNSIDR	United Nations International Strategy for Disaster Reduction
USAID	United States Agency for International Development

1.0 INTRODUCTION

1.1 BACKGROUND

The goal of the proposed World Bank Group (WBG)-funded South East Asia Disaster Risk Management (SEA DRM) Project is to reduce the risk of flooding and enhance disaster risk financing capacity within the Lower Mekong Sub-region. The Project will allow the three riparian countries, namely, Lao PDR, Cambodia and Myanmar to achieve and expand outcomes in three key areas: (i) flood risk management investments; (ii) disaster risk assessment and financing; and, (iii) contingent emergency response component. The overall project objective is “to promote and harmonize disaster risk management at the regional level.” Proposed sub-projects may have significant environmental and social impacts, consequently the World Bank-funded (WB) SEA DRM Project is classified under Category “A” environmental assessment guidelines and, as such, must be guided by WB safeguard policies (OP 4.01). Proposed sub-projects may impact different populations and communities adversely and, therefore, additional safeguard instruments will be triggered and inform the ESMF documents; including ethnic group engagement (OP 4.10) and involuntary resettlement (OP 4.12).

The WB classifies proposed projects into four safeguard instrument categories, depending on the type, location, sensitivity, and scale of the project, as well as nature and magnitude of potential environmental and social impacts:

Category A: applied to the SEA DRM Project because its proposed sub-project(s) are likely to have significant adverse environmental and social impacts that are sensitive, diverse or unprecedented.

Category B: applied to proposed sub-project(s) which have the potential for adverse environmental impacts on human populations or environmentally important areas (i.e., wetlands, forests, grasslands, and other natural habitats) but are less adverse than those of Category “A” sub-projects. These impacts are site-specific; few if any of them are irreversible; and in most cases mitigation measures can be designed. Category “B” projects are guided by applicable WB safeguard instruments similar to Category “A” but with narrower scope.

Category C: applied to proposed sub-project(s) which have minimal or no adverse environmental and social impacts. In this case, WB safeguard instruments do not apply and only periodic site environmental screening would be conducted.

Category FI: as the proposed tripartite SEA DRM Project will be funded through a WBG financial intermediary, the International Development Association (IDA), and may have unknown environmental and social impacts, the appropriate safeguard instrument is an Environmental and Social Management Framework (ESMF).

1.2 OBJECTIVES OF THE ENVIRONMENTAL AND SOCIAL MANAGEMENT FRAMEWORK

The ESMF provides an overarching safeguards document governing the approach, processes and specific instruments for proposed sub-projects. As such, the ESMF provides the safeguard instrument decision support tool and guide to inform the Environmental and Social Impact Assessment (ESIA), Environmental and Social Management Plan (ESMP), Resettlement Action Plan (RAP) and Ethnic Group Engagement Plan (EGEP), and other safeguard instruments (if applicable) during sub-project feasibility and design phase. The ESMF will inform and guide Designated Implementing Agencies

(DIA) and other government institutions responsible for ensuring safeguard requirements for SEA DRM Project proposed subproject investment initiatives and activities are compliant with national ESIA guidelines for Lao PDR. The ESMF also ensures that WB Environmental Assessment (OP 4.01) and social policies, processes and procedures are documented in the SEA DRM Project safeguard documents.

The ESMF will assist borrower countries' DIA identify and mitigate possible adverse environmental and social impacts of proposed sub-project(s). As with many collaborative processes and change initiatives, acceptance of project outputs is determined by the way they are developed, introduced and integrated into existing systems and processes at regional and trans-boundary, national and local levels. To ensure learning and capacity development as well as ownership and acceptance of the ESMF process, government counterpart staff in the Ministry of Public Works and Transport (MPWT) and Department of Waterways (DOW) DIAs – are expected to work alongside the Consultant throughout the process. As a result of this project, DIA counterpart staff should have heightened awareness and strengthened capacity in relation to the development and application of safeguard instruments for proposed sub-projects, including public engagement and information disclosure processes.

1.3 SCOPE OF WORK

Comments and observations on the SEA DRM Project ESMF terms of reference (TOR) were discussed with the WB and the DIA. Accordingly, the Consultant will work with the DIA, namely the DOW, to put in place all necessary organizational and logistical arrangements to fulfill the SEA DRM Project ESMF requirements.

The Consultant reviewed ESMF guideline documents provided by the WB, relevant national ESIA policy and legal documents and regulatory frameworks, and WB Environmental Assessment (EA) safeguard instruments. The scope of work (SOW) was informed through a consultative kick-off meeting on 9th May, 2016 with the Lao PDR MPWT DOW, Environment and Social Department (ESD) and preliminary planning meetings with the WB SEA DRM task team in Vientiane, Lao PDR.

The proposed SEA DRM Project includes three components: (i) Component 1: Flood Risk Management Investments; (ii) Component 2: Disaster Risk Assessment and Financing; and, (iii) Component 3: Contingency Emergency Response. The present consultancy will only address Component 1, which is further segregated into three main task categories and associated sub-categories:

Task 1: Preliminary Environmental and Social Impact Assessment (PESA)

- Task 1.1 – Analysis of Potential Environmental and Social Impacts and Risks
- Task 1.2 – Provide a Platform for Stakeholders, Ethnic Minorities and Vulnerable Groups

Task 2: Preparation of the Environmental and Social Management Framework (ESMF)

- Task 2.1 – Address Project Environmental and Social Issues
- Task 2.2 – Describe Existing Legal and Institutional Framework

- Task 2.3 – Gender-Responsive Social Assessment of Potential Project Affected People and Different Populations to Inform and Consider Safeguards that are Inclusive and Gender Sensitive
- Task 2.4 – Identification of Gaps and Needs with Respect to Institutional Arrangements and Capacity for ESMF Implementation and Monitoring
- Task 2.5 – Outreach and Consultation Activities
- Task 2.6 – Budget Estimate for Implementation of ESMF

Task 3: Stakeholder Engagement and Public Consultation

- Task 3.1 – Public Consultation and Disclosure

2.0 APPROACH AND METHODOLOGY

2.1 TECHNICAL APPROACH

The WB-funded SEA DRM Project “will finance key flood risk management investments that contribute to natural disaster risk and poverty reduction as well as strengthening the capacity for longer-term disaster risk management in ...Lao PDR...” (WB SEA DRM Project ESMF TOR). Discussions with the WB and MPWT DIA counterpart staff confirmed several proposed sub-project initiatives and activities in Lao PDR which will undergo further review and consideration, particularly in relation to potential environmental and social impacts and mitigation measures. As such, the ESMF is guided by WB EA safeguard instruments (OP 4.01) and operational policies (OP 4.10 and OP 4.12). Additionally, there may be other regional and trans-boundary, and national safeguard policies and legislation relevant to the SEA DRM Project borrower countries, a combination of the three, or the Greater Mekong Sub-region (GMS) which also need to be considered and documented.

In undertaking this assignment, the Consultant will adopt a participatory and consultative approach involving meaningful engagement with MPWT/DOW DIA counterpart staff, key stakeholders and different populations (i.e., ethnic minorities and vulnerable groups – women, men, youth and children, elderly and disabled). The approach is intended to enhance ownership and general understanding for the ESMF and safeguard instruments, roles and responsibilities, and perceptions as a basis for improving coordination and achievement of the SEA DRM Project objectives. This participatory and consultative approach should ensure effective communication and coordination with all stakeholders and different populations at both national and local levels.

Following discussions with the WB and MPWT/DOW DIA counterpart staff, several potential sub-project initiatives have been identified (Table 1). Annex A1 shows the detailed potential sub-project list.

Table 1 Potential SEA DRM sub-project initiatives and activities.

No.	Potential SEA DRM Sub-project Initiative or Activity	Investment (USD)	Location
1	Hydromet stations	4 M	To be determined
1	Urban flood risk management	20 M	Lao PDR: proposed infrastructure subprojects in Oudomxay Province <ul style="list-style-type: none"> ▪ Riverbank protection work ▪ Dike work ▪ Canal reparation work ▪ Flood gate work ▪ Riverside park
2	Finance projects	5 M	Ministry of Finance

Discussions with MPWT/DOW during the kick-off meeting confirmed a tentative timeline for site-specific proposed sub-project initiative visits (See Annex A2: Figure A2.1). At the time of writing, field visit arrangements were subject to further confirmation along with plans to meet with key stakeholders, communities and different populations. However, it has been confirmed that a 1-week site visit will be conducted in Oudomxay where flood and slope protection is planned along the river (i.e., installation of flood gates, construction of weirs, dikes and embankments). Additionally, two parks are being considered in Meungxay District which would include flood protection measures as well as recreational facilities.

2.2 METHODOLOGY

The methodology was developed following review of the SEA DRM Project ESMF TOR and relevant background documents, discussions with WB, and interaction and consultation with MPWT/DOW staff. The methodology is also informed by lessons learned and best practices for undertaking Category “A” projects guided by ESMFs.

2.2.1 Meetings

Various meetings have already been convened and others will be organized throughout the SEA DRM Project ESMF consultancy.

(i) WB Negotiation Meeting

Discussions were held between the WB SEA DRM team and Hatfield Consultants on 18th April 2016 to agree and finalize the technical and financial aspects of the ESMF consultancy. Subsequent meetings were held in Vientiane on 3rd May 2016 to discuss specific issues related to the consultancy.

(ii) Kick-off Meeting

An introductory kick-off meeting was held on 9th May 2016 in Vientiane with MPWT/DOW, Environment and Social Division (ESD), and Public Works and Transport Institute (PTI) staff, the World Bank task team and the Consultant (Hatfield).

(iii) Progress Meeting(s)

MPWT/DOW DIA counterpart staff institutional strengthening and capacity development will occur throughout the consultancy as a result of working alongside the Consultant. Meetings and formal/informal discussions will occur while working alongside MPWT/DOW DIA counterpart staff in the ministry office as well as during the field visit to Oudomxay Province. During proposed sub-project field visits, MPWT/DOW DIA counterpart staff, associated ministries and departments, other stakeholders and various populations will benefit from best practice and lessons learned in relation to understanding and application of environmental and social safeguard instruments.

(iv) Public Consultation Meeting(s)

Two public consultation meetings are planned. The first public consultation meeting will comprise a shortlist of stakeholders, including the MPWT/DOW DIA and other relevant decision-makers who will review, provide comments and approve the Inception Report. The second public consultation will involve a wider range of stakeholders from different ministries at the national and local level, civil society organizations (CSO) including non-government organizations (NGO) and community-based organizations (CBOs) and/or local leadership. Tentative dates for the first public consultation meeting is 7th June 2016 and for the second public consultation meeting is 26th July 2016.

2.2.2 Literature Review

Review of documents will be a continual process and will include best practice and relevant ESMFs provided by the WB. In addition, the Consultant will review Lao PDR environmental legal framework documentation including, but not limited to:

Laws and Regulations

- Constitution of the Lao PDR (2015);
- Environmental Protection Law (2013);
- Land Law (2013);
- Law on Urban Planning (1999);
- Road Laws (1999);
- Law on the Development and Protection of Women (2004);
- Ministerial Instruction No. 8030/MONRE on Environmental and Social Impact Assessment (2013);
- Environmental Impact Assessment Guidelines (2012);
- Decree No. 84/GoL on Compensation and Resettlement of People Affected by Development Project (2016);
- Regulations No. 2432/STEA for Implementing Decree No. 192/PM on Compensation and Resettlement of People Affected by Development Project;

- Technical Guidelines for Resettlement and Compensation (2010); and
- Ministerial Instruction on Public Involvement in the Process of Environmental and Social Impact Assessment of Investment Project (2013).

Policies

- Constitution of the Lao PDR (2015)
- Eighth Five Year Plan on National Socio-Economic Development Plan (NSED 2016-2020)
- Resolution of the Party Central Organization concerning Ethnic Minority Affairs in the New Era' (1992); and
- The National Growth and Poverty Eradication Strategy (2004).

2.2.3 Data Collection Instruments

The Consultant will use a variety of quantitative and qualitative data collection instruments to obtain secondary and primary information which will guide and inform development of the ESMF, Resettlement Policy Framework (RPF) and Ethnic Group Engagement Framework (EGEF).

2.2.4 Stakeholder Engagement Process

The review includes analyses of Lao PDR policies, legislation and institutional practices regarding public participation and engagement in public policy and planning processes, as well as environmental and social impact assessment procedures. The Consultant will establish:

- (i) A list of relevant stakeholders and different populations at national and local levels;
- (ii) A process for engaging stakeholders and various publics at different stages of the ESMF; and
- (iii) Current and planned institutional capacity and need for training of selected key stakeholders who will be integral to the application of environmental and social safeguard instruments at the subproject initiative(s) feasibility and design phase. DIA counterpart staff and stakeholders' knowledge and understanding of environmental and social safeguard instruments will enhance their participation and ownership of the process, and roles and responsibilities during Project implementation.

2.2.5 Gender and Ethnic Inclusive Social Assessment Guidelines

The Consultant will work with MPWT/DOW DIA counterpart staff to ensure that different populations and vulnerable groups are not excluded from the proposed sub-project initiative scoping and screening process as informed by the ESMF. The enquiry will ensure that an inclusive, equity-focused and gender-responsive lens is used for assessing impacts of proposed sub-project initiatives as it relates to different populations (i.e., ethnic minorities and vulnerable groups – women, men, youth and children, elderly and disabled, etc.). Different populations will be apprised of their right to free, prior and informed consultation so that they can be engaged in the preparation of the proposed sub-project initiative(s).

3.0 WORK PLAN

3.1 KICK-OFF MEETING

The World Bank Task Team Leader introduced the Consultant to DIA counterpart staff with MPWT/DOW on 9th May 2016.

3.2 SCOPING

The Consultant will work side-by-side with MPWT/DOW and their assigned DIA counterpart staff to discuss the proposed sub-project(s) initiatives and/or activities' locations, to assess safeguard instruments to be considered and applied as a result of proposed sub-project initiatives and activities. The Consultant will confirm field visit scheduling, budget considerations, and identification of primary and secondary stakeholders and different population groups (i.e., ethnic minorities and vulnerable groups) to be consulted and included in the enquiry and dialogue particularly at site-specific locations and community level.

The Consultant provides support and technical assistance to borrower country DIA counterpart staff in the MPWT Department of Waterways. DOW DIA counterpart staff are responsible for confirming proposed sub-project initiative(s) and locations for field visits, and identifying key stakeholders and different populations (i.e., ethnic minorities and vulnerable groups).

3.3 PRELIMINARY ENVIRONMENTAL AND SOCIAL ASSESSMENT

This analysis provides a preliminary assessment of potential environmental and social impacts and risks. As such, the PESA provides a tool for identifying potential impacts and risks likely to arise as a result of the SEA DRM proposed sub-project initiatives and activities. The PESA assesses impacts and risks in the sub-project context and consideration of the surrounding environmental, socio-cultural resources and physical landscape. The PESA presents likely positive and adverse impacts and associated risks that may be anticipated as a result of the SEA DRM proposed sub-project initiatives and activities.

Secondary information sources (including relevant ESMFs provided by WB) provide social, cultural and natural resources context and background for the proposed sub-project initiatives and activities. Additionally, any preliminary studies conducted on specific proposed sub-project investment initiatives and activities as well as in-depth consultation with MPWT/DOW DIA counterpart staff and stakeholders will help inform the PESA. Typologies will be developed to illustrate potential impacts and risks.

The ESMF task team comprised of national and local government officials, local village authorities and committees, the World Bank and the Consultant will facilitate a community consultation and engagement process in sample communities in Oudomxay Province. Community consultation will provide a platform for key stakeholders and different populations to voice their concerns and issues over potential environmental and social impacts and risks associated with the project. Community consultation will also provide an entry point to discuss recourse and grievance mechanisms related to perceived adverse impacts on livelihoods and well-being.

3.4 ENVIRONMENTAL AND SOCIAL MANAGEMENT FRAMEWORK

The ESMF will be prepared in accordance with applicable WB safeguard policies (OP 4.01, 4.10, 4.12 and 4.37) and based on relevant ESMF guideline documents, other pertinent literature, lessons learned from previous studies and field data collection. The ESMF is integral to sub-project preparation and provides a level of assurance that safeguard instruments will be adequately considered at feasibility and design stage to prevent and mitigate undue harm and potentially adverse impacts on people and their environment during subsequent implementation. Engagement and consultation with MPWT/DOW DIA counterpart staff, other stakeholders and different populations will be instrumental to development of the ESMF document, institutional strengthening and capacity development component, and public consultation and information disclosure.

The ESMF will include discussion on purpose and scope, environmental and social baseline conditions, legal and regulatory framework, description of relevant activities and proposed sub-project initiatives, scope of mitigation and management measures and an estimated budget, and roles and responsibilities. As proposed sub-project initiatives and activities' environmental and social impacts are not yet determined, the ESMF will describe safeguard instrument frameworks for resettlement (OP 4.12) and ethnic group engagement (OP 4.10). These are briefly described below.

3.4.1 Resettlement Policy Framework

The RPF is consistent with WB OP 4.12 and guides decision-makers in terms of proposed sub-project initiatives that may impact different populations in such a manner that they will be subject to relocation and resettlement. Any subsequent resettlement plan will vary depending upon the magnitude and complexity of the proposed sub-project initiative's impact on different populations (i.e., communities, livelihoods and well-being) and, particularly, in relation to displaced persons. The RPF covers a number of scenarios where impacts may occur and provides potential avoidance or mitigation strategy guidelines for decision-makers and different populations alike. The ESMF will provide a typology of proposed sub-project initiatives and detail potential effects on different populations, as well as identify avoidance or mitigation strategies, including grievance mechanisms.

3.4.2 Ethnic Group Engagement Framework

The EGEF provides a guideline document (OP 4.10) to decision-makers early in the project preparation process to ensure that due consideration is given to adequate consultation and engagement of ethnic minorities. As such, the level of social assessment conducted will depend on the nature and scale of the proposed sub-project initiative(s) and/or activities' and its potential impact on ethnic minorities. The ESMF will provide a typology of proposed sub-project initiatives and guidance on how the EGEF can inform decision-makers on the level of information and analysis required of the gender-responsive social assessment process. The EGEF will provide guidance on engaging potential project affected people of ethnic minority groups in the process of free, prior and informed consultation and in development and implementation of sub-projects to be financed under the SEA DRM Project.

3.5 STAKEHOLDER ENGAGEMENT AND PUBLIC CONSULTATION

The WB safeguard instrument OP 4.01 stipulates that the EA should be available for public consultation and information disclosure. The Consultant will work in conjunction with MPWT/DOW DIA counterpart staff to identify key stakeholders and different populations who should be engaged and consulted throughout the ESMF process.

Objectives of the community consultations will be to: (i) provide background information to various stakeholders and different populations; (ii) receive feedback from CSOs including NGOs, Lao PDR Non-profit Associations (NPA), CBOs, local leadership and other publics on issues and perceived concerns; and (iii) discuss methods and resources to maximize the SEA DRM proposed sub-project initiatives and activities' environmental and social performance. These participatory and consultative meetings will provide MPWT/DOW an opportunity to discuss grievance and redress mechanisms for those different populations who may be impacted adversely from implementation of the proposed sub-project initiatives and/or activities.

4.0 OUTPUTS

4.1 INCEPTION REPORT

The Inception Report (IR; this document) was submitted within two (2) weeks of contract agreement. The IR provides a brief outline of the methodology, work plan and activity schedule for field visits, team composition and staff assignment, reporting schedule, draft outlines for the ESMF, RPF and EGEF, and other pertinent issues related to the project. The IR will be reviewed and discussed with MPWT/DOW and DIA key decision-makers and other key stakeholders (i.e., WB and Consultant) at the first public consultation meeting and any comments will be incorporated in the final version.

4.2 DRAFT REPORTS

The draft PESA will undergo an initial review by the WB and MPWT/DOW DIA to ensure that it provides an adequate overview of the environmental and social contexts relevant to the proposed sub-project initiatives. Following the internal review, revisions will be made as necessary and this second draft PESA will be translated into Lao and circulated to the MPWT/DOW DIA, relevant ministries and departments, national and local CSOs and NGOs, and other publics for discussion at the second public consultation meeting.

The draft ESMF, including annexes describing applicable RPF and EGEF guidelines, will be subject to an initial review by the WB and MPWT/DOW DIA to ensure that it complies with best practice for proposed sub-project initiatives and/or activities being considered in Lao PDR. Following the internal review, revisions will be made as necessary and the second draft ESMF and annexes will be translated into Lao language and circulated to the MPWT/DOW DIA, relevant ministries and departments, national and local CSOs (i.e., NGOs and CBOs), and other publics for discussion at the second public consultation meeting.

Following the second public consultation meeting, the draft final ESMF, including relevant annexes, will be revised to incorporate or address observations and comments. This revised Final Draft ESMF will be submitted to the WB on the Pelosi deadline of 8th August 2016.

4.3 PUBLIC CONSULTATION

It has been agreed that the first public consultation meeting will be held on 7th June 2016 in Vientiane, Lao PDR and will include a shortlist of key stakeholder decision-makers who will review, provide comment on, and approve the Inception Report. The purpose of this meeting will be to review the Inception Report to assess soundness of approach and methodology, human resource allocation, activities, scheduling and budget, and main stakeholders and different populations to be engaged in the process. Moreover, detailed plans for field visit and which stakeholders and various publics to meet will be discussed.

A second public consultation meeting will be held with wide stakeholder representation (i.e., associated ministries and line departments, CSOs, different populations and community representatives, etc.). The purpose of the second public consultation meeting will be to discuss the draft ESMF and appendices (i.e., RPF and EGEF) as well as other relevant safeguard operational policies, roles and responsibilities, including grievance and redress mechanisms.

4.4 REVISED FINAL DRAFT ESMF

The WB¹ has stipulated that 8th August 2016 is the deadline that must be achieved by the Consultant for all project documents. By this date, the revised final draft ESMF will have already been reviewed once by the WB task team and have gone through a public consultation.

This revised final draft ESMF will conclude the Consultant assignment.

5.0 TEAM COMPOSITION AND TASK ASSIGNMENT

5.1 ORGANIZATION AND STAFFING

The Consultant has assembled an experienced team for this assignment with significant Mekong Region and international expertise in climate change mitigation strategies and adaptation, disaster and climate resilience, environmental management, and public consultation and community facilitations. The team consists of qualified international and national experts experienced and affiliated with recognized regional and national organizations, working on various sectors related to the assignment.

The Consultant recognizes the need to work closely with the MPWT/DOW DIA and relevant government agencies including the ESD/PTI and others (i.e., Ministry of Natural Resources and Environment [MONRE] and Department of Environment and Social Impact Assessment [DESIA], etc.) to ensure deliverables meet the requirements of the WB as well as the Government of Lao PDR.

Core international and national team members include:

Team Leader and Lead Social Assessment Specialist:	Mr. James Hamilton
Lead Environmental Assessment Specialist:	Mr. John Villamere
Environmental and Social Assessment Specialist:	Ms. Derin Henderson

¹ WB stipulate that "By the 8th August (hard deadline), the final draft of the ESMF needs to be submitted to the World Bank regional safeguards advisor for final review. This draft will be publicly disclosed. This draft will have already been reviewed once by the World Bank project team and gone through a public consultation." (Robert Reid email, 22nd April 2016)

Environmental and Social Assessment Specialist: Mr. Vongphet Soukhavongsa
 Public Consultation/Community Facilitator: Mr. Ananh Xaiyavong
 Technical Support Team: Mr. Jim Webb, Mr. Grant Bruce and
 Mr. Thomas Boivin

Table 2 lists the number of days for each team member throughout the project timeline.

Table 2 Consultant Task Assignment (person days).

	GB/TBJW Project Director & Tech Support Team	Jim Hamilton Team Leader and Lead Social Specialist	John Villamere Lead Env. Engineer/Specialist	Vongphet Soukhavongsa Env. Specialist LAOS	Derin Henderson Env and Soc. Specialist LAOS	Ananh - Public Consultation Specialist/Community Facilitator LAOS	
Management Activities							
1100 Component Management	2.5						
1200 Project Meetings (including KO meetings)	2			0.5	0.5		
Desktop Research/Background Preparation							
2100 Literature Review		1		3.5	0.5		
LAOS							
Preliminary Environmental and Social Assessment							
1.1 Analysis of Potential Environmental and Social Impacts and Benefits		1		2	1		
1.2 Provided a Platform for Stakeholders		2		2		1	
Preparation of Environmental and Social Management Framework							
2.1 Address Project Environmental and Social Issues	1	2	1.5	1	1		
2.2 Description of Existing Legal and Institutional Plan		1		1			
2.3 Gender Responsive Social Assessment		1			2		
2.4 Identification of Gaps and Needs WRT Institutional Arrangements and Capacity for ESMF Implementation		2		2	1	2	
2.5 Outreach and Consultation Activities		2		5	1	5	
2.6 Estimated Budget for Implementation of ESMF	1	1					
Stakeholder Engagement and Public Consultation							
3.1 Formal Public Consultations		2		5	2	5	
Document Compilation							
7100 Final Reporting	1	2.5	1	3	2.5		
	Total (person days)	7.5	17.5	2.5	25	11.5	13

5.2 STAKEHOLDERS

5.2.1 Designated Implementing Agencies

The DIA for Lao PDR include the following agencies:

- Ministry of Public Works and Transport, Lao PDR
 - MPWT DIA counterpart staff lead: Mr. Khamseng Aly
 - Department of Waterways (DOW)
 - Environment and Social Division (ESD)
 - Public Works and Transport Institute (PTI)

The following line Ministries and Departments are also engaged as implementing agencies:

- Ministry of Finance
- Ministry of Natural Resources and Environment (MONRE)
 - Department of Environmental and Social Impact Assessment (DESIA)

5.2.2 Non-Government Organizations/Civil Society Organizations and Intergovernmental Agencies

The following CSOs (i.e., NGOs, NPAs and CBOs) are active in Oudomxay Province and could be included in the public consultations on the ESMF, IPPF and EGEF documents:

- SNV Netherlands Development Organization;
- Population Services International;
- Comité de Coopération avec le Laos;
- Plan International;
- Consortium of Agricultural Research Centers (CGIAR);
- NGO Forum;
- Land Issues Working Group;
- Oxfam International;
- Save the Children International;
- CARE International;
- Village Focus International;
- HELVETAS Swiss Inter-cooperation;
- Handicap International (HI);
- The Asia Foundation (TAF); and,
- World Vision Lao PDR (WVL)

The following regional organizations could be included in the public consultations on the ESMF, IPPF and EGEF documents:

- Mekong River Commission (MRC);
- World Wildlife Fund (WWF GMS);
- Asian Disaster Preparedness Center (ADPC);
- International Union for the Conservation of Nature (IUCN);
- Wildlife Conservation Society (WCS);
- International Water Management Institute (IWMI); and

- Climate Action Network (CAN).

The following multilateral funding organizations / donors could be included in the public consultations on the ESMF, IPPF and EGEF documents:

- International Finance Corporation (IFC);
- Asian Development Bank (ADB) and the GMS Core Environment Program (CEP);
- United Nations organizations, including United Nations International Strategy for Disaster Reduction (UNSIDR), United Nations Framework Convention on Climate Change (UNFCCC), Intergovernmental Panel on Climate Change (IPCC), United Nations Development Programme (UNDP), United Nations Environment Programme (UNEP), Food and Agriculture Organization of the United Nations (FAO);
- Swiss Agency for Development;
- United States Agency for International Development (USAID);
- Swedish International Development Agency (Sida);
- Agence Française de Développement (AFD);
- Japan International Cooperation Agency (JICA);
- Australian Department of Foreign Affairs and Trade (DFAT);
- European Commission (EC); and
- International Fund for Agricultural Development (IFAD).

5.2.3 Ethnic Minorities and Vulnerable Groups

The abovementioned CSOs and international NGOs working in Lao PDR generally focus on supporting different populations, including vulnerable groups and ethnic minorities (e.g., women, men, youth and children, elderly and the disabled, etc.). Several CSOs focus on improving livelihoods, food security and community development in remote rural areas as well as providing support to vulnerable women and girls. Others concentrate on thematic areas of work including: promotion of equality through gender mainstreaming of women in political, economic and social spheres; reduction of violence against women; enhanced training and capacity development of authorities and different populations on disaster risk reduction (DRR) and emergency response; and, the promotion of conditions that allow for real participation of different populations.

As part of this Inception Report, the Consultant interviewed several CSOs (i.e., CARE International, Oxfam, Laos Disabled People's Association (LDPA), Handicap International) to hear about their work in relation to DRM, adaptive capacity and resilience, concerns and issues related to gender equality and equity issues, work with different populations (i.e., ethnic minorities and vulnerable groups), and resettlement issues and concerns related to sub-project affected people's livelihoods and well-being.

6.0 SUMMARY AND NEXT STEPS

This draft Inception Report will be subject to further review and discussion at the first public consultation meeting to be held in Vientiane on 7th June, 2016. The meeting will be attended by MPWT/DOW DIA officials and counterpart staff, and other key decision-makers.

Once approval is given for the Inception Report, the ESMF work plan can be set in motion with deliverables and deadlines observed as set out in Annex A1.1.

ANNEXES

Annex A1

List of proposed Sub-Projects

III. Development Plan

N.	start point		End point		Unit	Quantities	Typ of Structure	Remarks
	N	E	N	E				
1					ha	249.43	Basin	
Riverbank protection work								
1	2288845	810164	2287880	809995	m	1,047.00	Riverbank Protection	RB 1 - RB 2
2	2287672	809993	2288888	810704	m	1,775.00	Riverbank Protection	RB 3 - RB 4
3	2290528	810609	2290662	810885	m	131.00	Riverbank Protection	RB 5 - RB 6
4	2290699	810875	2291124	811403	m	913.00	Riverbank Protection	RB 7 - RB 8
5	2291137	811414	2291341	812055	m	1,582.00	Riverbank Protection	RB 9 - RB 10
6	2291121	811458	2291333	812028	m	1,473.00	Riverbank Protection	RB 11 - RB 12
	Total:				m	6,921.00		
Dike work								
1	2288757	810910	2290245	810724	m	2,391.00	Dike	D 1 - D 2
2	2292393	809842	2290690	810870	m	5,179.00	Dike	D 3 - D 4
3	2291106.13	812003.03	2291284.01	812319.32	m	899.00	Dike	D 5 - D 6
4	2290875	810901	2290707	811318	m	438.00	Dike	D 7 - D 8
	Total				m	8,907.00		
Cannel								
1	2292135	809727	2292108	809930	Number	1.00	Cannel	C 1 - C 2
Flood gate work								
1	2288888	810194			Number	1.00	Flood gate	G 1
2	2290589	810622			Number	1.00	Flood gate	G 2
3	2291839	811780			Number	1.00	Flood gate	G 3
4	2290101	810763			Number	1.00	Flood gate	G 4
5	2290588.18	810775.24			Number	1.00	Flood gate	G 5
6	2290716.62	811230.57			Number	1.00	Flood gate	G 6
7	2291157.92	812066.45			Number	1.00	Flood gate	G 7
8	2290948.23	812153.84			Number	1.00	Flood gate	G 8
Dam								
1	2291645	811993			Number	1.00	Dam	
River site Park								
1	2287310	810108			Number	1.00	River site Park	P 1
2	2291535	811652			Number	1.00	River site Park	P 2

Annex A2

Timeline (including Field Visit Plan – Location Details, Who to Meet, Resource Requirements, Budget)

Figure A2.1 Work Schedule¹.

MONTH	MAY															JUNE																									
WEEK STARTING	2	3	4	5	6	9	10	11	12	13	16	17	18	19	20	23	24	25	26	27	30	31	1	2	3	6	7	8	9	10	13	14	15	16	17	20	21	22	23	24	27
WEEK NUMBER	Workweek 1					Workweek 2					Workweek 3					Workweek 4					Workweek 5					Workweek 6					Workweek 7					Workweek 8					
SAFEGUARDS																																									
Negotiations																																									
Contract Signing ¹																																									
Kick-off meeting and Scoping ²						Laos																																			
Output: Inception Report																Eng Lao																									
Translation of Inception Report																																									
Invitation to First Public Consultation ³																																									
First Public Consultation Meeting ⁴																										Laos															
Field Mission and Community Consultation ⁵																																									
PESA, ESMF, RPF, IPPF/EGEF drafts																																				Eng					
Internal Review of first draft																																									
Revisions to first draft																																									
Second Draft of ESMF Documents																																									
Translation of ESMF Documents																																									
Invitation to Second Public Consultation ³																																									
Second Public Consultation Meeting ⁴																																									
revised Final Draft ESMF ⁶																																									
RSS review																																									
Final ESMF																																									
Translation																																									
ESMF disclosed in infoshop																																									
Pelosi Date = August 8, 2016																																									
Board Date = December 6, 2016																																									
Note: 1	Field visit plan and schedule to be discussed/confirmed with Lao PDR MPWT/DOW DIA and other decision makers																																								
2	KO meeting with Lao PDR MPWT/DOW, WB and Hatfield team																																								
3	Public Consultation Meetings require two (2) weeks' notice ahead of meeting(s)																																								
4	(i) 1st Public Consultation Meeting to discuss Inception Report, proposed methodology, budget for domestic travel and field work; (ii) 2nd Public Consultation Meeting to discuss draft ESMF and appendices																																								
5	Conduct inclusive, equity-focused and gender-responsive social assessment using PRA tools																																								
6	As per email (Robert Reid, 22nd April 2016) "The final draft of the ESMF needs to be submitted to the World Bank" by the hard deadline of 8th August. This marks the final Hatfield input																																								

Back of figure

Figure A2.1 (Cont'd.)

MONTH	JUNE			JULY																	AUG									
	28	29	30	1	4	5	6	7	8	11	12	13	14	15	18	19	20	21	22	25	26	27	28	29	1	2	3	4	5	8
WEEK STARTING																														
WEEK NUMBER	Workweek 9			Workweek 10				Workweek 11				Workweek 12				Workweek 13					Workweek 14									
SAFEGUARDS																														
Negotiations																														
Contract Signing ¹																														
Kick-off meeting and Scoping ²																														
Output: Inception Report																														
Translation of Inception Report																														
Invitation to First Public Consultation ³																														
First Public Consultation Meeting ⁴																														
Field Mission and Community Consultation ⁵																														
PESA, ESMF, RPF, IPPF/EGEF drafts																														
Internal Review of first draft																														
Revisions to first draft																														
Second Draft of ESMF Documents																														
Translation of ESMF Documents																														
Invitation to Second Public Consultation ³																														
Second Public Consultation Meeting ⁴																														
revised Final Draft ESMF ⁶																														
RSS review																														
Final ESMF																														
Translation																														
ESMF disclosed in infoshop																														
Pelosi Date = August 8, 2016																														

Back of Figure

Figure A2.2 World Bank South-East Asia Disaster Risk Management Environmental and Social Management Framework Project.

Tentative Field Visit Schedule, Xay District, Oudomxay Province (21 – 25 June 2016)

Date	Time	Activities	Objective	Location	Personnel
21 June 2016 (Day 1)	10:00-13:00	Travel by plane from Vientiane to Oudomxay.	<ul style="list-style-type: none"> To conduct field visit of sub-project site. 	Xay District	Concerned personnel of DOW; Concerned personnel of PTI; Concerned personnel of DESIA; WB: TBD; Hatfield: Jim Hamilton, Derin Henderson, Vongphet Soukhavongsa, Ananh Xaiyavong.
	14:00-16:00	Meet with designated officials of Oudomxay Provincial Department of Public Works and Transport (PPWT) and with designated officials of Xay District Office of Public Works and Transport (DPWT).	<ul style="list-style-type: none"> To introduce the team and brief the work plan; To present candidate sub-project site; To collect relevant data and information. 	Oudomxay Department of Public Works and Transport.	Concerned personnel of DOW; Concerned personnel of PTI; Concerned personnel of DESIA; Concerned personnel of PPWT; Concerned personnel of DPWT; WB: TBD; Hatfield: Jim Hamilton, Derin Henderson, Vongphet Soukhavongsa, Ananh Xaiyavong.
22 June 2016 (Day 2)	8:30-12:00	Visit candidate sub-project sites	<ul style="list-style-type: none"> To collect relevant information. 	Xay District (the name of the village is TBD).	Concerned personnel of DOW; Concerned personnel of PTI; Concerned personnel of DESIA; Concerned personnel of PPWT; Concerned personnel of DPWT; WB: TBD; Hatfield: Jim Hamilton, Derin Henderson, Vongphet Soukhavongsa, Ananh Xaiyavong.
	13:30-16:00	Conduct joint meeting to discuss the findings and outcome of the site visit as well and the selection of sub-projects.	<ul style="list-style-type: none"> To gain common understanding and to determine and agree on the selection of sub-projects. 	Office of Public Works and Transport of Xay District.	Concerned personnel of DOW; Concerned personnel of PTI; Concerned personnel of DESIA;

Date	Time	Activities	Objective	Location	Personnel
					Concerned personnel of PPWT; Concerned personnel of DPWT; WB: TBD; Hatfield: Jim Hamilton, Derin Henderson, Vongphet Soukhavongsa, Ananh Xaiyavong.
23 June 2016 (Day 3)	8:30 – 11:30	Meet and consult with village authorities of selected sub project sites; Meet and consult with villagers/impacted communities.	<ul style="list-style-type: none"> ▪ To make local authorities and impacted communities informed and gain support; ▪ To hear the views and opinions of village authorities on the candidate sub-project sites; ▪ To collect issues and concerns. 	Xay District (the name of village is TBD).	Concerned personnel of DOW; Concerned personnel of PTI; Concerned personnel of DESIA; Concerned personnel of PPWT; Concerned personnel of DPWT; Concerned officials of village authorities. Villagers and impact people; WB: TBD; Hatfield: Jim Hamilton, Derin Henderson, Vongphet Soukhavongsa, Ananh Xaiyavong.
	13:30 – 16:30	Meet and consult with village authorities of selected sub project sites; Meet and consult with villagers/impacted communities.	<ul style="list-style-type: none"> ▪ To make local authorities and impacted communities informed and gain support; ▪ To hear the views and opinions of village authorities on the candidate sub-project sites; ▪ To collect issues and concerns. 	Xay District (the name of village is TBD).	Concerned personnel of DOW; Concerned personnel of PTI; Concerned personnel of DESIA; Concerned personnel of PPWT; Concerned personnel of DPWT; Concerned officials of village authorities. Villagers and impact people; WB: TBD; Hatfield: Jim Hamilton, Derin Henderson, Vongphet Soukhavongsa, Ananh Xaiyavong.
24 June 2016 (Day 4)	8:30 – 11:30	Meet with Adra organization and Plan Laos Organizationd	<ul style="list-style-type: none"> ▪ To consult and gain comments and feedbacks on the candidate subprojects. 	Offices of Adra and Plan Laos in Meng Xay district.	WB: TBD; Hatfield: Jim Hamilton, Derin Henderson, Vongphet Soukhavongsa, Ananh Xaiyavong.
	13:30 –	Conduct joint meeting to discuss,	<ul style="list-style-type: none"> ▪ To gain common 	Oudomxay Department	Concerned personnel of DOW;

Date	Time	Activities	Objective	Location	Personnel
	15:30	agree and confirm on the proposed sub-projects as well as to discuss the outcomes of public consultations.	understanding on the selection of sub-projects; <ul style="list-style-type: none"> ▪ To determine the key issues and concerns of local villagers/communities and to discuss and agree on proposed mitigations measures. 	of Public Works and Transport.	Concerned personnel of PTI; Concerned personnel of DESIA; Concerned personnel of PPWT; Concerned personnel of DPWT; WB: TBD; Hatfield: Jim Hamilton, Derin Henderson, Vongphet Soukhavongsa, Ananh Xaiyavong.
25 June 2016 (Day 5)	11:00 – 14:00	Travel from Oudomxay to Vientiane.	<ul style="list-style-type: none"> ▪ Return to Vientiane. 		

Figure A2.3 World Bank South-East Asia Disaster Risk Management Environmental and Social Management Framework Project.

**Field Visit Budget Estimate (Hatfield staff only)
Xay District, Oudomxay Province, 20 – 24 June 2016**

Item	Unit Price (USD)	Unit	Total (USD)
Return flights from Vientiane to Oudomxay	275	4	1,100
Accommodations	25	16	400
Vehicle	125	4	500
Equipment (camera, GPSs)	100	1	100
Materials	150	1	150
			2,250

Remark: Hatfield anticipates that Government of Lao PDR DIA expenses will be covered by the WB.

Annex A3

**Environmental and Social
Management Framework (ESMF)
Report Outline**

Executive Summary

Acronyms and Abbreviations

1 Introduction

1.1 Project Overview

1.2 ESMF Objective

1.3 ESMF Preparation Approach

1.4 Potential Users of the ESMF

2 Project Description

2.1 Project Rationale

2.2 Project Development Objective and Key Components

2.3 Institutional and Implementation Arrangements

2.4 Environmental and Social Context and Baseline

3 Relevant National and International Policies and Regulatory Frameworks for Environmental and Social Management

3.1 National and Regulatory Frameworks

3.2 Lower Mekong Sub-region Frameworks

3.3 Applicable WB Safeguards Policies Triggered by the SEA DRM Project

4 Potential Environmental and Social Impacts and Mitigation Measures

4.1 Methodology Used for Identification of Potential Impacts

4.2 Expected Positive Impacts

4.3 Expected Adverse Impacts and Mitigation Measures

4.4 Direct and Indirect Impacts

4.5 Cumulative Impacts

5 Environmental and Social Management Framework

5.1 Introduction

5.2 Environment and Social Assessment Procedures

5.3 ESMF Monitoring and Evaluation

6 Institutional Arrangements for Implementation of the ESMF

6.1 Mekong Sub-region Level Institutional Arrangements

6.2 Lao PDR Institutional Arrangements

7 Capacity Building, Training and Technical Assistance

8 Consultation and Participation

9 ESMF Implementation Budget

List of Tables

References

Appendices

Annex A4

**Resettlement Policy Framework
(RPF) Report Outline**

- A Objectives Of Resettlement Policy Framework
 - a) Description of the purpose and objectives of resettlement
 - b) National and local land and compensation laws that apply to the Project
 - c) Description of donor policies and how these will be achieved Under the Project
 - d) Statement of principles and legal/policy commitments from borrower/DIA
- B Project Design And Scope Of Resettlement
 - a) Detailed description, including:
 - (i) How baseline for resettlement was established
 - (ii) Maps of the scope of resettlement
 - (iii) How resettlement relates to the main sub-project
 - b) Description of alternative options, if any, considered to minimize resettlement
 - c) Details of special considerations given to how the project will impact different populations (i.e., ethnic minorities and vulnerable groups – women, youth and children, elderly and disabled, etc.)
 - d) Responsibility for resettlement planning and implementation
- C Socio-Economic Information and Entitlements
 - a) Impact of land acquisition on potential affected peoples
 - b) Identification of losses to re-settlers and host communities
 - c) Details of common property resources
 - d) Cut-off dates of eligibility
 - e) New eligibility of policy and Entitlement Matrix
- D Resettlement Site Development And Income Restoration
 - a) Location, quality of site, and development needs
 - b) Layout, design and social infrastructure
 - c) Safeguarding income and livelihoods
 - d) Income restoration programs
 - e) Gender issues and other vulnerable groups
 - f) Integration with host communities
- E Institutional Framework For Resettlement Implementation
 - a) Mandate of resettlement agency

- b) Establishing a resettlement unit and staffing
 - c) Technical assistance for capacity building
 - d) Role of NGOs and CSOs in resettlement
 - e) Grievance redress committees
- F Consultation And Community Participation
- a) Identification of project stakeholders
 - b) Mechanisms for participation
 - c) Participatory resettlement management
 - d) Institutions in participation
 - e) NGOs as a vehicle for participation
- G Resettlement Budget And Financing
- a) Land acquisition and resettlement costs
 - b) Budgetary allocation and timing
 - c) Sources of funding and approval process
- H Monitoring and Evaluation
- a) Establishing a monitoring and evaluation system
 - b) Monitoring and reporting
 - c) NGO and Civil Society participation in monitoring and evaluation
 - d) Resettlement impact evaluation

Annex A5

**Ethnic Group Engagement
Framework (EGEF) Report Outline**

- 1 SEA DRM Project
 - 1.1 Project Development Objective
 - 1.2 Project Components
 - 1.2.1 Component 1: Flood Risk Management Investments
 - 1.2.2 Component 2: Disaster Risk Assessment and Financing
 - 1.2.3 Component 3: Contingent Emergency Response Component
- 2 Objectives and Principles of the EGEF
- 3 Ethnic Minorities in the Project Areas
- 4 Project Impacts on Ethnic Minorities
- 5 Legal, Policy and Institutional Framework
- 6 Project Implementation Procedure
 - 6.1 Project Information Dissemination and Vulnerability Awareness Building
 - 6.2 Ethnic Screening
 - 6.3 Participatory Social Assessment and Free, Prior and Informed Consultations
 - 6.4 Ethnic Group Engagement Plans (EGEP)
 - 6.5 Approval and Disclosure
 - 6.6 Implementation Arrangements
 - 6.7 Monitoring and Evaluation
 - 6.8 Grievance Redress Mechanism